

10/30/01

JC928 U.S. PTO

12/04/01

PTO/SB/05 (03-01)

Please type a plus sign (+) inside this box → ☐Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	1242/49/2
First Inventor	Jeffrey R. Balser
Title	See 1 in Addendum
Express Mail Label No.	ET475301787US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **132**]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **15**]
5. Oath or Declaration [Total Pages **3**]
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☒ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☒ paper
c. ☒ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
37 CFR 3.73(b) Statement of Attorney
(when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
16. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____/_____

Prior application information:

Examiner _____

Group Art Unit, _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

Name

25297

PATENT TRADEMARK OFFICE

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Arles A. Taylor, Jr.

Registration No. (Attorney/Agent)

39,395

Signature

Arles A. Taylor, Jr.

Date

October 30, 2001

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Addendum

1. HUMAN KCR1 REGULATION OF HERG POTASSIUM CHANNEL BLOCK

10000151-103001

10/30/01

JC928 U.S. PTO

PTO/SB/17 (10-01)

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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 1,459.00)

Complete if Known

Application Number

Filing Date

First Named Inventor

Jeffrey R. Balser

Examiner Name

Group Art Unit

Attorney Docket No.

1242/49/2

METHOD OF PAYMENT

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
NumberDeposit
Account
Name☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status.
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee
Code (\$) Code (\$) Fee Description

101	740	201	370	Utility filing fee	370.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

Fee Paid

SUBTOTAL (1) (\$ 370.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
99	-20** = 79	9	711
12	-3** = 9	42	378
Multiple Dependent			

Large Entity Small Entity

Fee Fee Fee Fee
Code (\$) Code (\$) Fee Description

103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 1,089.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)

Arles A. Taylor, Jr.

Registration No.
(Attorney/Agent)

39,395

Complete (if applicable)

Telephone

919-493-8000

Signature

Arles A. Taylor, Jr.

Date

10-30-01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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JENKINS & WILSON, P.A.

PATENT ATTORNEYS
SUITE 1400 UNIVERSITY TOWER
3100 TOWER BOULEVARD

DURHAM, NORTH CAROLINA 27707

TELEPHONE (919) 493-8000
FACSIMILE (919) 419-0383

WEBSITE

JENKINSANDWILSON.COM

RALEIGH OFFICE

NCSU CENTENNIAL CAMPUS

VENTURE II SUITE 400

920 MAIN CAMPUS DRIVE

RALEIGH, NORTH CAROLINA 27606

TELEPHONE (919) 424-3710

FACSIMILE (919) 424-3711

RICHARD E. JENKINS
JEFFREY L. WILSON
JAMES A. TAYLOR, JR.
DAVID P. GLOEKER
GREGORY A. HUNT
JOHN A. LAMERDIN, Ph.D.
E. ERIC MILLS
JULIE A. BROADUS, Ph.D. (PATENT AGENT)

OF COUNSEL:
JENNIFER L. SKORD

October 30, 2001

"Express Mail" mailing number.: ET475301787US

Date of Deposit 10-30-01

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patent and Trademarks, Washington, D.C.

Amy J. Martin

Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

Re: U.S. Patent Application for HUMAN KCR1 REGULATION OF HERG
POTASSIUM CHANNEL BLOCK
Our File No. 1242/49/2

Sir:

Please find enclosed the following:

1. A U.S. patent application for HUMAN KCR1 REGULATION OF HERG POTASSIUM CHANNEL BLOCK (132 pages);
2. Fifteen (15) sheets of drawings;
3. Sequence Listing in paper and computer readable form (CRF);
4. Statement That Sequence Listing and Computer Readable Copy are the Same;
5. Unexecuted Declaration (3 pages);
6. Utility Patent Application Transmittal Form (Form PTO/SB/05, 2 pages);
7. Fee Transmittal Form (Form PTO/SB/17) in duplicate;
8. A check in the amount of \$1459.00 to cover the application filing fee;

Commissioner for Patents
October 30, 2001
Page 2

9. A return-receipt postcard to be returned to our offices with the U.S. Patent and Trademark Office date stamp thereon; and
10. A Certificate of Express Mail No.: ET475301787US.

Please contact our offices if there are any questions.

Although a check is being submitted, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment associated with the filing of this correspondence to Deposit Account Number 50-0426.

Respectfully submitted,

JENKINS & WILSON, P.A.



Arles A. Taylor, Jr.
Registration No. 39,395
Customer No. Bar Code Label:



25297

PATENT TRADEMARK OFFICE

AAT/ajm

Enclosures

100001631100001

Date of Deposit 10-30-01

Amy J. Martin

J. Martin

James J. Martin

IN THE UNITED

In re Application of: Balser et al.

Serial No.: Not Assigned

Filed: Herewith

Confirmation No.: Not Assigned

For: HUMAN KCR1 REGULATION OF HERG POTASSIUM CHANNEL BLOCK

* * * * *

STATEMENT THAT SEQUENCE LISTING AND
COMPUTER READABLE COPY ARE THE SAME

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. § 1.821(f), applicants hereby state that the Sequence Listing information recorded in computer readable form is identical to the written Sequence Listing on paper.

Although it is believed that no fee is due, the Commissioner is hereby authorized to charge any deficiencies of payment associated with the filing of this correspondence.

Figure 1